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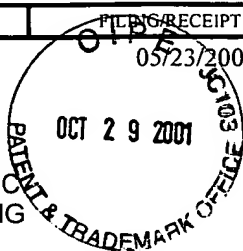


UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,876	05/23/2001	Takaaki Amano	SCET 18.702

026304

 HELFGOTT & KARAS, P.C.
 EMPIRE STATE BUILDING
 60TH FLOOR
 NEW YORK, NY 10118


CONFIRMATION NO. 9474

FORMALITIES LETTER



OC00000006369647

Date Mailed: 08/01/2001

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

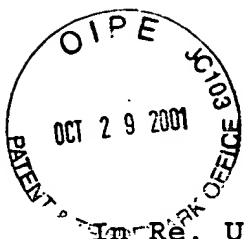
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 BABRAHA1 00000065 501290 09863876

 01 FC:101 740.00 CH
 02 FC:105 130.00 CH



12-10-
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

In Re. U.S. Application of: Takaaki AMANO, et al.

Filed: May 23, 2001

Serial No.: 09/863,876

For: TRANSACTION PROCESSING SYSTEM

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated August 1, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Petition for a one month extension;
- ☒ 3. Priority Documents; Certified copy of Japanese Application no. 2000-153233 filed May 24, 2000 and 2001-151507 filed May 21, 2001.
- ☒ 3. Return post card;
- ☒ 5. Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct.
No. 50-1290.

ANY FEE DUE WITH THIS PAPER, NOT FULLY
COVERED BY AN ENCLOSED CHECK, MAY BE
CHARGED ON DEPOSIT ACCOUNT NO. 501290

Respectfully submitted,

[X] Samson Helfgott
Reg. No.: 28,072

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OCTOBER 29, 2001
Docket No.: 100809-16250 (SCET 18.702)
ejh: Mispart.

Filed by Express Mail
(Receipt No. EV 0142630410)
on OCT 29, 2001
pursuant to 37 C.F.R. 1.10
by

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